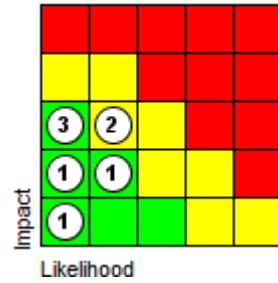






















Planning Risk Register


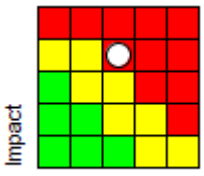
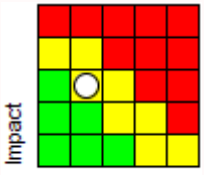

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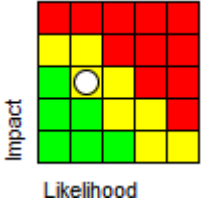
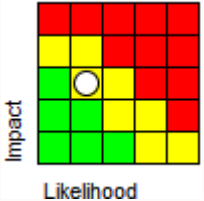
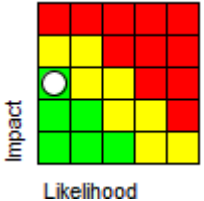
Planning Risk Register

Current Risk Heat Map



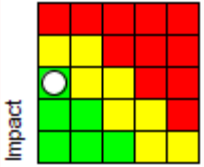





Status	Code	Title	Key										
	PL 1	Delivery of Local Development Framework	<table border="1"> <thead> <tr> <th colspan="2">Risk Status</th> </tr> </thead> <tbody> <tr> <td></td> <td>OK</td> </tr> <tr> <td></td> <td>Warning</td> </tr> <tr> <td></td> <td>Alert</td> </tr> <tr> <td></td> <td>Unknown</td> </tr> </tbody> </table>	Risk Status			OK		Warning		Alert		Unknown
Risk Status													
	OK												
	Warning												
	Alert												
	Unknown												
	PL 2	Planning Decisions											
	PL 3	Management of IT systems											
	PL 4	Loss of land charge income											
	PL 5	Data Quality											
	PL 6	Staff Recruitment & Retention											
	PL 7	Fraud and corruption											
	PL 8	Street naming & numbering											


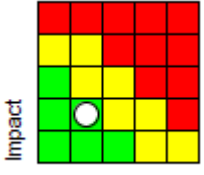
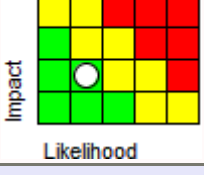
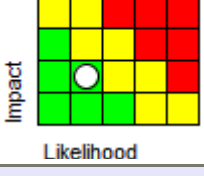
PL 1 Delivery of Local Development Framework				
Risk Code	Risk Title	Description	Status	
PL 1	Delivery of Local Development Framework	Produce an adopted statutory development plan for Ryedale.		
Consequences		Out of date Policy document Failure to deliver sustainable communities Potential loss of effective control over future development in the district Adverse impact on likely grant awards- HPDG replaced with New Homes Bonus based on housing delivery.		
Original Matrix		Original Rating Description		
	Original Impact	D	Original Likelihood	3
		Major		Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	2
		Medium		Not Likely
Target Risk Matrix		Target Rating Description		
	Target Impact	C	Target Likelihood	1
		Medium		Very Low
Latest Progress			Last Review Date	SMT Lead
<p>25-Jan-2012 All relevant technical evidence completed and Core Strategy (Ryedale Plan) published on 25th January 2012-consultation expires 9th march 2012. Submission document to be agreed by Council April/May2012 for summer 2012 examination.</p> <p>Work on Sites and Helmsley DPD's underway in accordance with LDS timescales.</p> <p>Localism Act 2011 makes reference to Neighbourhood Planning and detailed regulations setting out the full impacts of this are still awaited - currently expected around April 2012.</p>			29-Jan-2012	Gary Housden

PL 2 Planning Decisions				
Risk Code	Risk Title	Description	Status	
PL 2	Planning Decisions	Timely rigorous planning decisions		
Consequences		Poor Council image. Maladministration. Possibility of legal challenge. Possibility of substantial costs incurred at appeal. Adverse impact on credibility of LPA-officer/member relations		
Original Matrix		Original Rating Description		
	Original Impact	C	Original Likelihood	2
		Medium		Not Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	2
		Medium		Not Likely
Target Risk Matrix		Target Rating Description		
	Target Impact	C	Target Likelihood	1
		Medium		Very Low
Latest Progress			Last Review Date	SMT Lead
25-Jan-2012 Member briefings to be held with regard to emerging localism agenda and likely impacts on local decision making. Officers continue to attend CPD events and peer groups in North Yorks.			21-Sep-2009	Gary Housden


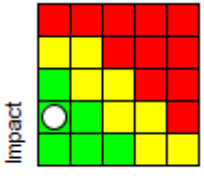


PL 3 Management of IT systems

Risk Code	Risk Title	Description		Status
PL 3	Management of IT systems	Providing robust accessible information systems.		
Consequences		Inefficient/costly systems. Failure to improve service delivery. Reduced access to service/ possible adverse impact in terms of equalities agenda		
Original Matrix		Original Rating Description		
		Original Impact	C	2
			Medium	Original Likelihood Not Likely
Current Risk Matrix		Current Rating Description		
		Current Impact	C	1
			Medium	Current Likelihood Very Low
Target Risk Matrix		Target Rating Description		
		Target Impact	B	1
			Minor	Target Likelihood Very Low
Latest Progress				Last Review Date
25-Jan-2012 Public Access now live and TLC electronically available to customers. Move to Hub controlled by retention of existing staff/expertise in Managing Development team				02-Dec-2010
				SMT Lead Gary Housden




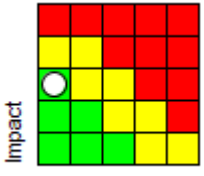
PL 4 Loss of land charge income

Risk Code	Risk Title	Description			Status
PL 4	Loss of land charge income	Retaining competitiveness of the service.			
Consequences		Net increase in cost to the Council of running service. Possible loss of service			
Original Matrix		Original Rating Description			
		Original Impact	B	Original Likelihood	2
			Minor		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	2
			Minor		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
25-Jan-2012 High levels of in house responses to searches and continued low levels of activity from search companies. Occasional difficulties in response from external responding authorities- but difficulties drawn to their attention and being managed.				21-Sep-2009	Gary Housden


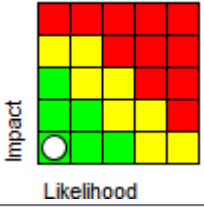
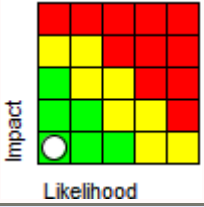
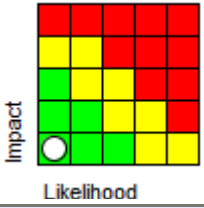
PL 5 Data Quality

Risk Code	Risk Title	Description		Status	
PL 5	Data Quality	Failure to deliver accurate, reliable and timely information in respect of all N.I.'s and local performance indicators			
Consequences		Loss of credibility. Loss of reputation /poor public image. Possible loss of grant income			
Original Matrix		Original Rating Description			
		Original Impact	B	Original Likelihood	1
			Minor		Very Low
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	1
			Minor		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	1
			Minor		Very Low
Latest Progress				Last Review Date	SMT Lead
25-Jan-2012 All relevant staff trained and up to date				21-Sep-2009	Gary Housden


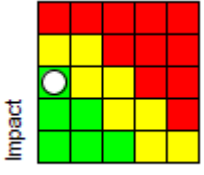
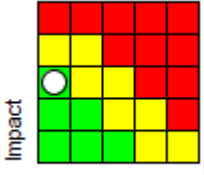
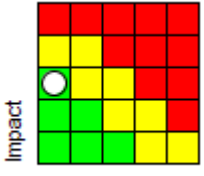
PL 6 Staff Recruitment & Retention

Risk Code	Risk Title	Description			Status
PL 6	Staff Recruitment & Retention	Ability to attract and keep experienced competent staff.			
Consequences		Drop in performance. Reduced staff morale. Increased complaints. Loss of reputation.			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	1
			Medium		Very Low
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	C	Target Likelihood	1
			Medium		Very Low
Latest Progress				Last Review Date	SMT Lead
25-Jan-2012 Mangers continue to monitor the market place . Training and development needs identified and actions taken where necessary				05-Oct-2009	Gary Housden

PL 7 Fraud and corruption

Risk Code	Risk Title	Description	Status
PL 7	Fraud and corruption	Having rigorous systems in place to prevent fraud and corruption occurring.	
Consequences		Loss of income. Loss of reputation/bad publicity. Poor rating from external inspectors.	
Original Matrix		Original Rating Description	
	Original Impact	A	1
		Low	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	A	1
		Low	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	A	1
		Low	Target Likelihood
Latest Progress			Last Review Date
25-Jan-2012 Service regularly audited. A DM review is due shortly by Internal Audit which will take account of the imminent changes in respect of Admin and Enforcement activities.			05-Oct-2009
			SMT Lead
			Gary Housden

PL 8 Street naming & numbering

Risk Code	Risk Title	Description			Status
PL 8	Street naming & numbering	To cover cost of providing service			
Consequences		Failure to cover costs of providing service to developers will place a financial burden on the Authority			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	1
			Medium		Very Low
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	C	Target Likelihood	1
			Medium		Very Low
Latest Progress				Last Review Date	SMT Lead
25-Jan-2012 New system in place and new charging regime operational				01-Jun-2009	Gary Housden